

# Existence and combinatorial model for Kirillov–Reshetikhin crystals

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# References

This talk is based on the following papers:

- A. Schilling,  
*Combinatorial structure of Kirillov–Reshetikhin crystals of type  $D_n^{(1)}$ ,  $B_n^{(1)}$ ,  $A_{2n-1}^{(2)}$* ,  
preprint arXiv:0704.2046[math.QA]
- M. Okado, A. Schilling,  
*Existence of Kirillov–Reshetikhin crystals for nonexceptional types*,  
preprint arXiv:0706.2224[math.QA]

# Quantum algebras

Drinfeld and Jimbo ~ 1984:  
independently introduced quantum groups  $U_q(\mathfrak{g})$

Kashiwara ~ 1990:  
crystal bases, bases for  $U_q(\mathfrak{g})$ -modules as  $q \rightarrow 0$   
**combinatorial approach**

Lusztig ~ 1990:  
canonical bases  
**geometric approach**

# Applications in...

representation theory

~> tensor product decomposition

solvable lattice models

~> one point functions

conformal field theory

~> characters

number theory

~> modular forms

Bethe Ansatz

~> fermionic formulas

combinatorics

~> tableaux combinatorics

topological invariant theory

~> knots and links

# Motivation

- Crystal bases are combinatorial bases for  $U_q(\mathfrak{g})$  as  $q \rightarrow 0$
- Affine finite crystals:
  - appear in 1d sums of exactly solvable lattice models
  - path realization of integrable highest weight  $U_q(\mathfrak{g})$ -modules
  - fermionic formulas
- Irreducible finite-dimensional  $U_q(\mathfrak{g})$ -modules classified by Chari-Pressley via Drinfeld polynomials

# Motivation

- Kirillov-Reshetikhin modules  $W_s^{(r)}$  form special subset

**Conjecture [HKOTY]**

$W_s^{(r)}$  has a crystal basis  $B^{r,s}$

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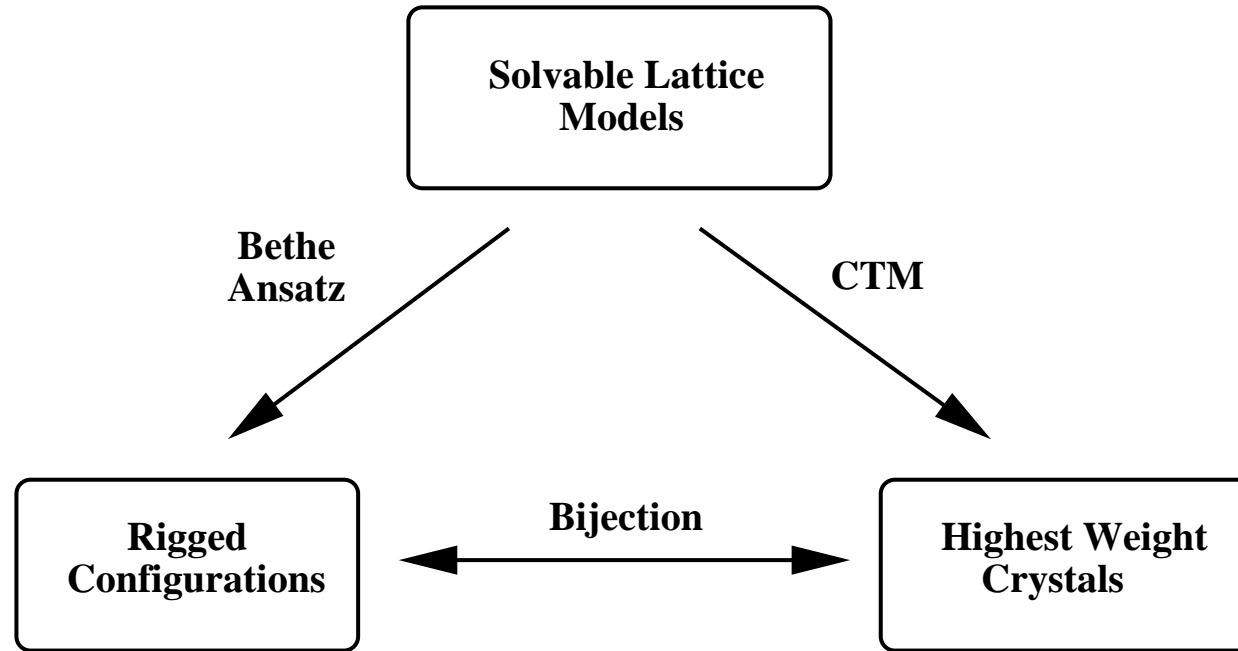
**Conjecture [HKOTY]**

$W_s^{(r)}$  has a crystal basis  $B^{r,s}$

## AIM:

- prove this conjecture for  $\mathfrak{g}$  of nonexceptional type
- provide a combinatorial crystal  $\tilde{B}^{r,s}$  for types  $D_n^{(1)}, B_n^{(1)}, A_{2n-1}^{(2)}$
- prove that  $B^{r,s} \cong \tilde{B}^{r,s}$

# Motivation



- 1988 Identity for Kostka polynomials Kerov, Kirillov, Reshetikhin  
2001  $X = M$  conjecture of HKOTTY

# Outline

- I. Motivation
- II. Existence of KR crystals  $B^{r,s}$  for nonexceptional types
  - Definition of KR modules
  - Criterion for existence
- III. Combinatorial KR crystals  $\tilde{B}^{r,s}$  of type  $D_n^{(1)}$ ,  
 $B_n^{(1)}$ ,  $A_{2n-1}^{(2)}$ 
  - Dynkin diagram automorphisms
  - Classical crystal structure
  - Affine crystal structure
- IV. MuPAD-Combinat implementation
- V. Outlook and open problems

## II. Existence of KR crystals $B^{r,s}$ for nonexceptional types

# Quantum affine algebras

$\mathfrak{g}$  symmetrizable affine Kac–Moody algebra

$U_q(\mathfrak{g})$  quantum affine algebra associated to  $\mathfrak{g}$ :  
associative algebra over  $\mathbb{Q}(q)$  with 1 generated by  
 $e_i, f_i, q^h$  for  $i \in I, h \in P^*$

$\{\alpha_i\}_{i \in I}$  simple roots,  $\{h_i\}_{i \in I}$  simple coroots

$c$  canonical central element,  $\delta$  generator of null roots

$P = \bigoplus_i \mathbb{Z}\Lambda_i \oplus \mathbb{Z}\delta$  weight lattice

$A$  subring of  $\mathbb{Q}(q)$  of rational functions without poles  
at  $q = 0$

$$A_{\mathbb{Z}} = \{f(q)/g(q) \mid f(q), g(q) \in \mathbb{Z}[q], g(0) = 1\}$$

$$K_{\mathbb{Z}} = A_{\mathbb{Z}}[q^{-1}]$$

# Prepolarization

Let  $M$  be a  $U_q(\mathfrak{g})$ -module.

A symmetric bilinear form  $(, ) : M \otimes_{\mathbb{Q}(q)} M \rightarrow \mathbb{Q}(q)$  is called **prepolarization** if

$$(q^h u, v) = (u, q^h v)$$

$$(e_i u, v) = (u, q_i^{-1} t_i^{-1} f_i v)$$

$$(f_i u, v) = (u, q_i^{-1} t_i e_i v)$$

with  $q_i = q^{(\alpha_i, \alpha_i)/2}$ ,  $t_i = q_i^{h_i}$ .

A prepolarization is called **polarization** if it is positive definite using the order

$$f > g \quad \text{iff} \quad f - g \in \bigcup_{n \in \mathbb{Z}} \{q^n(a + qA) \mid a > 0\}$$

# Criterion for existence

$M$  finite-dimensional integrable  $U'_q(\mathfrak{g})$ -module

$(, )$  prepolarization on  $M$

$M_{K_{\mathbb{Z}}}$  submodule of  $M$  such that  $(M_{K_{\mathbb{Z}}}, M_{K_{\mathbb{Z}}}) \subset K_{\mathbb{Z}}$

$\lambda_1, \dots, \lambda_m \in \overline{P}_+$

**Assumptions A:**

1.  $\dim M_{\lambda_k} \leq \sum_{j=1}^m \dim \overline{V}(\lambda_j)_{\lambda_k}$

2. There exist  $u_j \in (M_{K_{\mathbb{Z}}})_{\lambda_j}$  such that

$$(u_j, u_k) \in \delta_{j,k} + qA$$

$$(e_i u_j, e_i u_j) \in q q_i^{-2(1+\langle h_i, \lambda_j \rangle)} A$$

# Criterion for existence

If **Assumption A** holds:

**Theorem: [KMN<sup>2</sup>]**

- (i)  $(\cdot, \cdot)$  is a polarization on  $M$
- (ii)  $M \cong \bigoplus_j \overline{V}(\lambda_j)$  as  $U_q(\mathfrak{g}_0)$ -modules
- (iii)  $(L, B)$  is a crystal pseudobase of  $M$ , where

$$L = \{u \in M \mid (u, u) \in A\}$$

$$B = \{b \in M_{K_{\mathbb{Z}}} \cap L/M_{K_{\mathbb{Z}}} \cap qL \mid (b, b)_0 = 1\}$$

$(\cdot, \cdot)_0$  is  $\mathbb{Q}$ -valued symmetric bilinear form on  $L/qL$  induced by  $(\cdot, \cdot)$ .

# KR modules

Chari-Pressley classified all irreducible finite-dimensional affine  $U_q(\mathfrak{g})$ -modules via Drinfeld polynomials.

KR modules  $W_s^{(r)}$  ( $s \in \mathbb{Z}_{>0}$ ,  $r = 1, \dots, n$ ) correspond to the Drinfeld polynomials

$$P_j(u) = \begin{cases} (1 - a_r q_r^{1-s}) \cdots (1 - a_r q_r^{s-1} u) & j = r \\ 1 & j \neq r \end{cases}$$

for some  $a_r \in \mathbb{Q}(q)$

# Construction of KR modules

$V(\lambda)$  extremal weight module

level 0 fundamental weight  $\varpi_i = \Lambda_i - \langle c, \Lambda_i \rangle \Lambda_0$

Define  $U'_q(\mathfrak{g})$ -module  $W(\varpi_i)$  as

$$W(\varpi_i) = V(\varpi_i)/(z_i - 1)V(\varpi_i)$$

where  $z_i$  is a  $U'_q(\mathfrak{g})$ -module automorphism of  $V(\varpi_i)$  of weight  $d_i\delta$

$$u_{\varpi_i} \mapsto u_{\varpi_i + d_i\delta} \quad d_i = \max\{1, (\alpha_i, \alpha_i)/2\}$$

$W_s^{(r)}$  can be obtained by from  $W(\varpi_r)$  by the fusion construction

# Existence

**Theorem**[Okado,S.]

$W_s^{(r)}$  has a crystal basis  $B^{r,s}$ .

**Assumption 1.** follows from recent work by Nakajima and Hernandez on characters of KR-modules

**Assumption 2.** follows by finding appropriate  $\lambda_j$  and explicitly calculating the prepolarization in the cases

- Case :  $D_n^{(1)}, B_n^{(1)}, A_{2n-1}^{(2)}$
- Case :  $C_n^{(1)}$
- Case :  $A_{2n}^{(2)}, D_{n+1}^{(2)}$

# Existence

**Theorem**[Okado,S.]

$W_s^{(r)}$  has a crystal basis  $B^{r,s}$ .

**Remark:** [KMN<sup>2</sup>] proved the existence of  $B^{r,s}$  for type  $A_n^{(1)}$  and for other types for special  $r, s$ .

### III. Combinatorial KR crystals $\tilde{B}^{r,s}$ of type $D_n^{(1)}$ , $B_n^{(1)}$ , $A_{2n-1}^{(2)}$

# Axiomatic Crystals

A  $U_q(\mathfrak{g})$ -crystal is a nonempty set  $B$  with maps

$$\text{wt}: B \rightarrow P$$

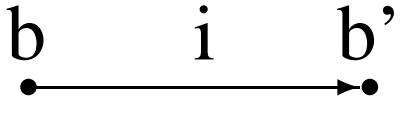
$$e_i, f_i: B \rightarrow B \cup \{\emptyset\} \quad \text{for all } i \in I$$

satisfying

$$f_i(b) = b' \Leftrightarrow e_i(b') = b \quad \text{if } b, b' \in B$$

$$\text{wt}(f_i(b)) = \text{wt}(b) - \alpha_i \quad \text{if } f_i(b) \in B$$

$$\langle h_i, \text{wt}(b) \rangle = \varphi_i(b) - \varepsilon_i(b)$$

Write  for  $b' = f_i(b)$

# KR crystals

$\mathfrak{g}$  affine Kac–Moody algebra

$W_s^{(r)}$  KR module indexed by  $r \in \{1, \dots, n\}$ ,  $s \geq 1$   
 $\leadsto$  finite-dimensional  $U'_q(\mathfrak{g})$ -module

Chari proved

$$W_s^{(r)} \cong \bigoplus_{\Lambda} \overline{V}(\Lambda) \quad \text{as } U_q(\mathfrak{g}_0)\text{-module}$$

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$$W_s^{(r)} \cong \bigoplus_{\Lambda} \overline{V}(\Lambda) \quad \text{as } U_q(\mathfrak{g}_0)\text{-module}$$

$\mathfrak{g}$  of type  $A_n^{(1)}$   $\Rightarrow \mathfrak{g}_0$  of type  $A_n$

$$W_s^{(r)} \cong \overline{V} \left( \begin{array}{|c|c|} \hline & \\ \hline & \\ \hline \end{array} \right) \underbrace{\hspace{1cm}}_s \Bigg) r$$

# KR crystals

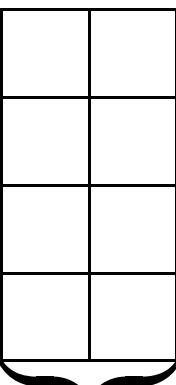
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$\mathfrak{g}$  of type  $D_n^{(1)}, B_n^{(1)}, A_{2n-1}^{(2)}$   $\Rightarrow \mathfrak{g}_0$  of type  $D_n, B_n, C_n$

sum over   $r$  with vertical dominos  removed

$s$

# Example

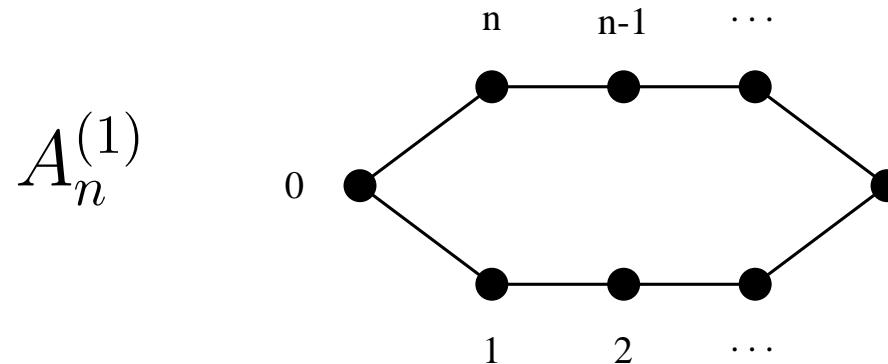
Type  $D_n^{(1)}$ ,  $B_n^{(1)}$ ,  $A_{2n-1}^{(2)}$

$$W_2^{(4)} \cong W\left(\begin{array}{|c|c|}\hline & \\ \hline \end{array}\right) \oplus W\left(\begin{array}{|c|c|}\hline & \\ \hline \end{array}\right) \oplus W\left(\begin{array}{|c|c|}\hline & \\ \hline \end{array}\right)$$
$$\oplus W\left(\begin{array}{|c|c|}\hline & \\ \hline \end{array}\right) \oplus W\left(\begin{array}{|c|c|}\hline & \\ \hline \end{array}\right) \oplus W(\emptyset)$$

# Dynkin automorphism

Type  $A_n^{(1)}$ :

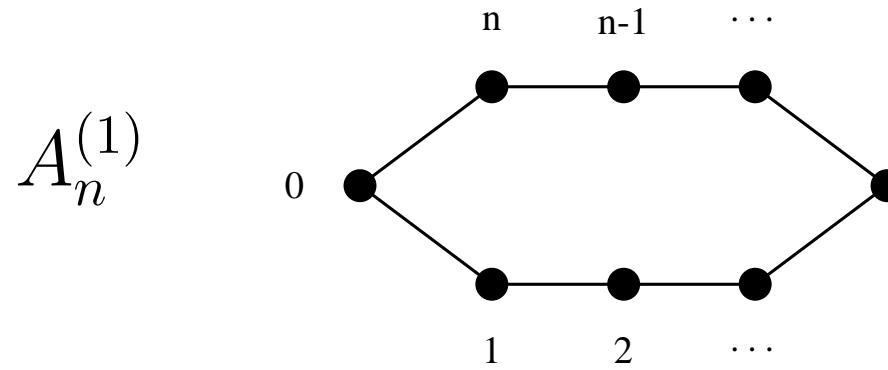
KMN<sup>2</sup> proved **existence** of crystals  $B^{r,s}$  for  $W^{r,s}$   
Shimozono gave the combinatorial structure of  $B^{r,s}$   
using  $\sigma$



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$$A_n^{(1)}$$

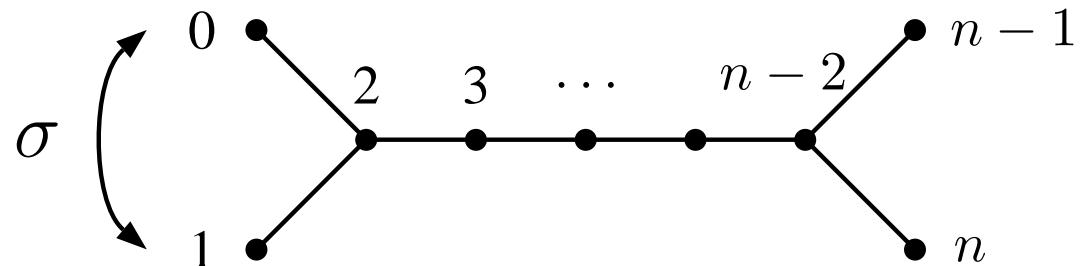
$$e_0 = \sigma^{-1} \circ e_1 \circ \sigma$$

$$f_0 = \sigma^{-1} \circ f_1 \circ \sigma$$

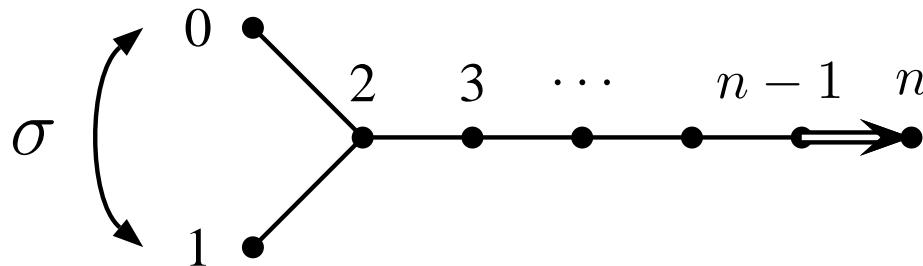
# Dynkin automorphism

Type  $D_n^{(1)}$ ,  $B_n^{(1)}$ ,  $A_{2n-1}^{(2)}$ :

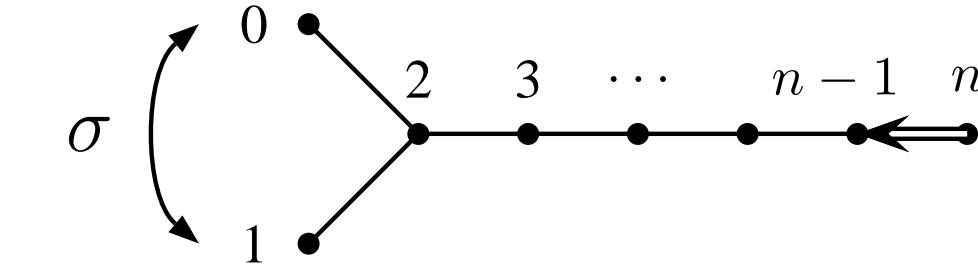
Type  $D_n^{(1)}$ :



Type  $B_n^{(1)}$ :

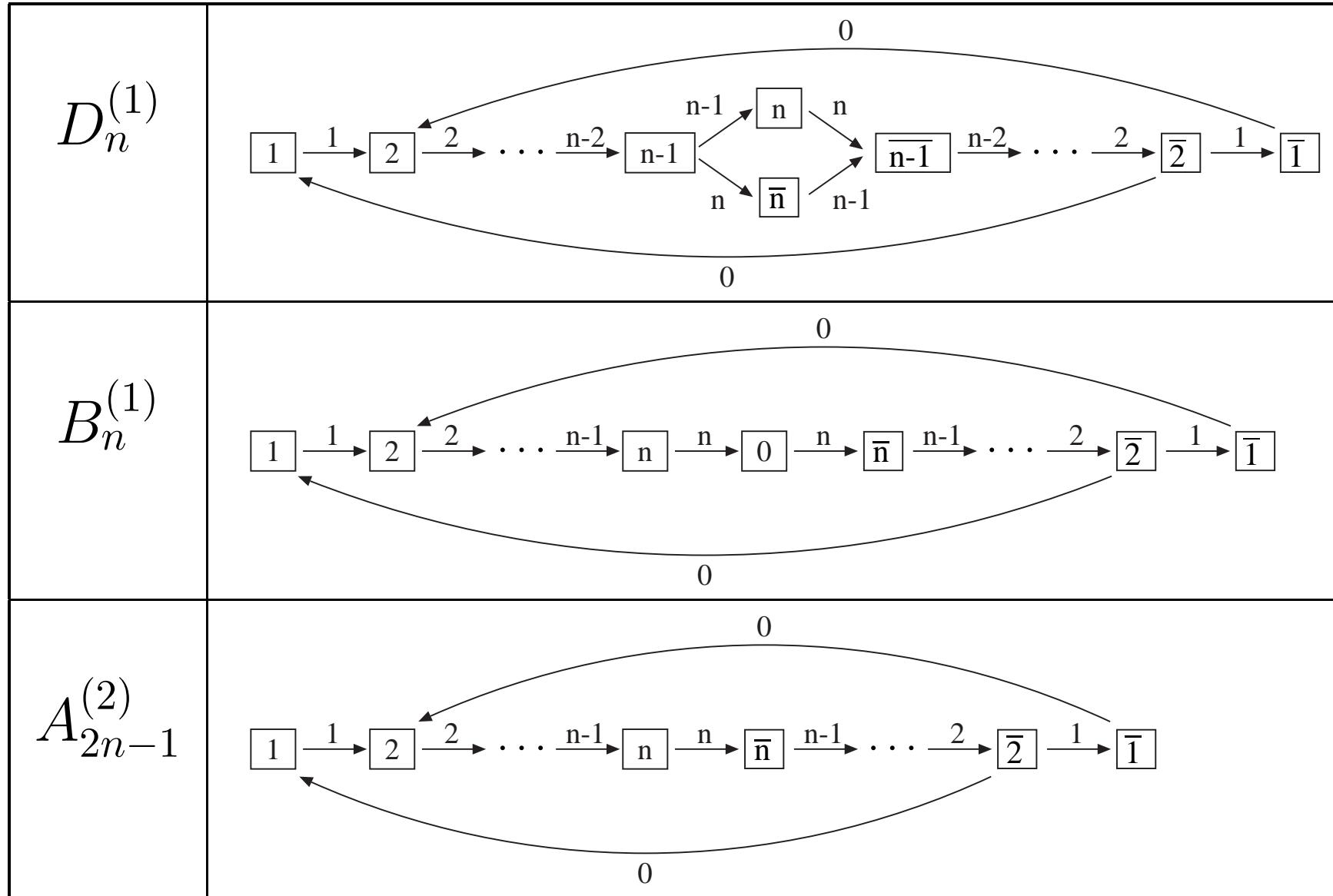


Type  $A_{2n-1}^{(2)}$ :



$$e_0 = \sigma \circ e_1 \circ \sigma \quad \text{and} \quad f_0 = \sigma \circ f_1 \circ \sigma$$

# Crystals $B^{1,1}$



# Classical decomposition

By construction

$$B^{r,s} \cong \bigoplus_{\Lambda} B(\Lambda)$$

as  $X_n = D_n, B_n, C_n$  crystals

$\Rightarrow$  crystal arrows  $f_i, e_i$  are fixed for  $i = 1, 2, \dots, n$

# Classical crystal

$$B(\Lambda) \subset B(\square)^{\otimes |\Lambda|}$$

highest weight

4		
3		
2		
2	2	2
1	1	1

$$\mapsto [4] \otimes [3] \otimes [2] \otimes [1] \otimes [2] \otimes [1] \otimes [2] \otimes [1]$$

$f_i, e_i$  for  $i = 1, 2, \dots, n$  act by tensor product rule

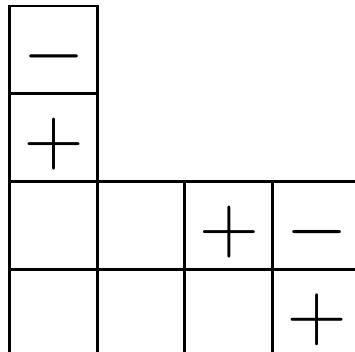
$$b \otimes b'$$
  
 $\varphi_i(b)$     $\varepsilon_i(b)$     $\varphi_i(b')$     $\varepsilon_i(b')$

## Definition of $\sigma$

## $X_n \rightarrow X_{n-1}$ branching

$$B_{X_n}(\Lambda) \cong \bigoplus_{\substack{\pm \text{ diagrams } P \\ \text{outer}(P) = \Lambda}} B_{X_{n-1}}(\text{inner}(P))$$

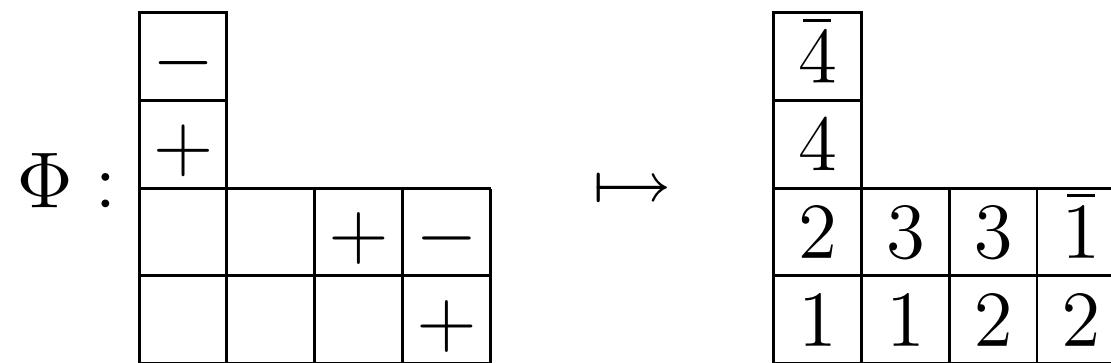
# $\pm$ diagrams



$\Lambda/\mu$  horizontal strip filled with  $-$   
 $\mu/\lambda$  horizontal strip filled with  $+$

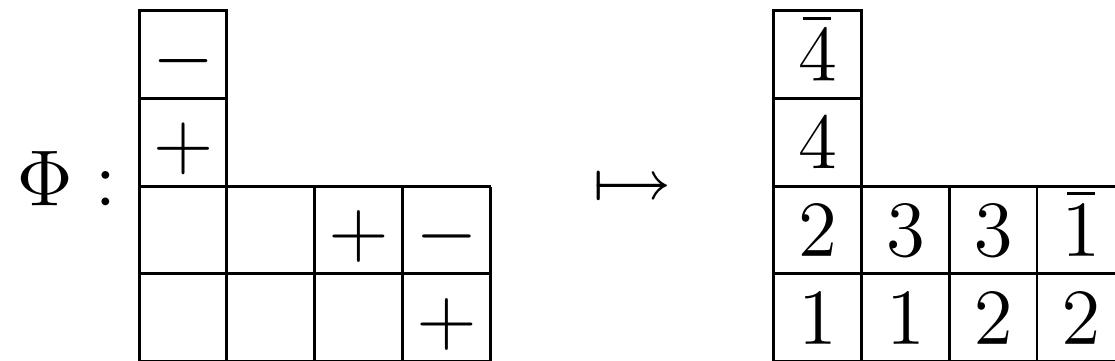
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$$\vec{a} = (1, 2, 1, 2, 3, 4, 5, 6, 4, 1, 2, 3, 4, 5, 6, 4, 3, 2)$$

$$\Phi(P) = f_{\vec{a}}$$

4			
3			
2	2	2	2
1	1	1	1

# Definition of $\sigma$

$\sigma$  on  $\pm$  diagrams

$P \pm$  diagram of shape  $\Lambda/\lambda$   
columns of height  $h$  in  $\lambda$

$h \not\equiv r \pmod{2}$  : interchange number of  
+ and - above  $\lambda$

$h \equiv r \pmod{2}$  : interchange number of  
 $\mp$  and empty above  $\lambda$

$P =$

+	-
	+
+	

$\mathfrak{S}(P) =$

-
-
-

$$\begin{aligned} r &\geq 6 \\ s &= 5 \end{aligned}$$

# Definition of $\sigma$

$\sigma$  on tableaux

$$b \in \tilde{B}^{r,s}$$

$e_{\overrightarrow{\mathbf{a}}} := e_{a_1} \cdots e_{a_\ell}$  such that  $e_{\overrightarrow{\mathbf{a}}}(b)$  is  
 $X_{n-1}$  highest weight vector

$$f_{\overleftarrow{\mathbf{a}}} := f_{a_\ell} \cdots f_{a_1}$$

Then

$$\sigma(b) = f_{\overleftarrow{\mathbf{a}}} \circ \Phi \circ \mathfrak{S} \circ \Phi^{-1} \circ e_{\overrightarrow{\mathbf{a}}}(b)$$

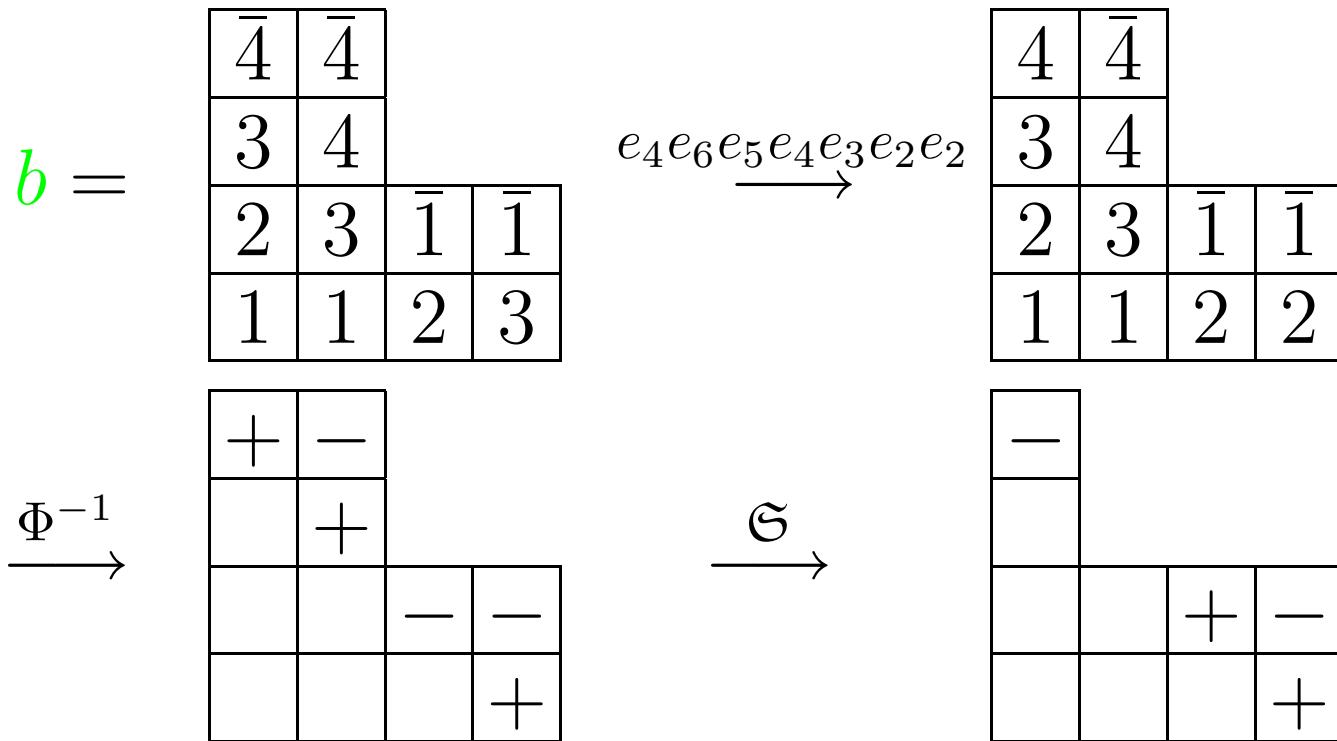
# Example

$\tilde{B}^{4,5}$  of type  $D_6^{(1)}$

$$b = \begin{array}{c} \begin{array}{|c|c|} \hline \bar{4} & \bar{4} \\ \hline 3 & 4 \\ \hline 2 & 3 & \bar{1} & \bar{1} \\ \hline 1 & 1 & 2 & 3 \\ \hline \end{array} \\ \xrightarrow{e_4 e_6 e_5 e_4 e_3 e_2 e_2} \begin{array}{|c|c|c|c|} \hline 4 & \bar{4} & & \\ \hline 3 & 4 & & \\ \hline 2 & 3 & \bar{1} & \bar{1} \\ \hline 1 & 1 & 2 & 2 \\ \hline \end{array} \end{array}$$

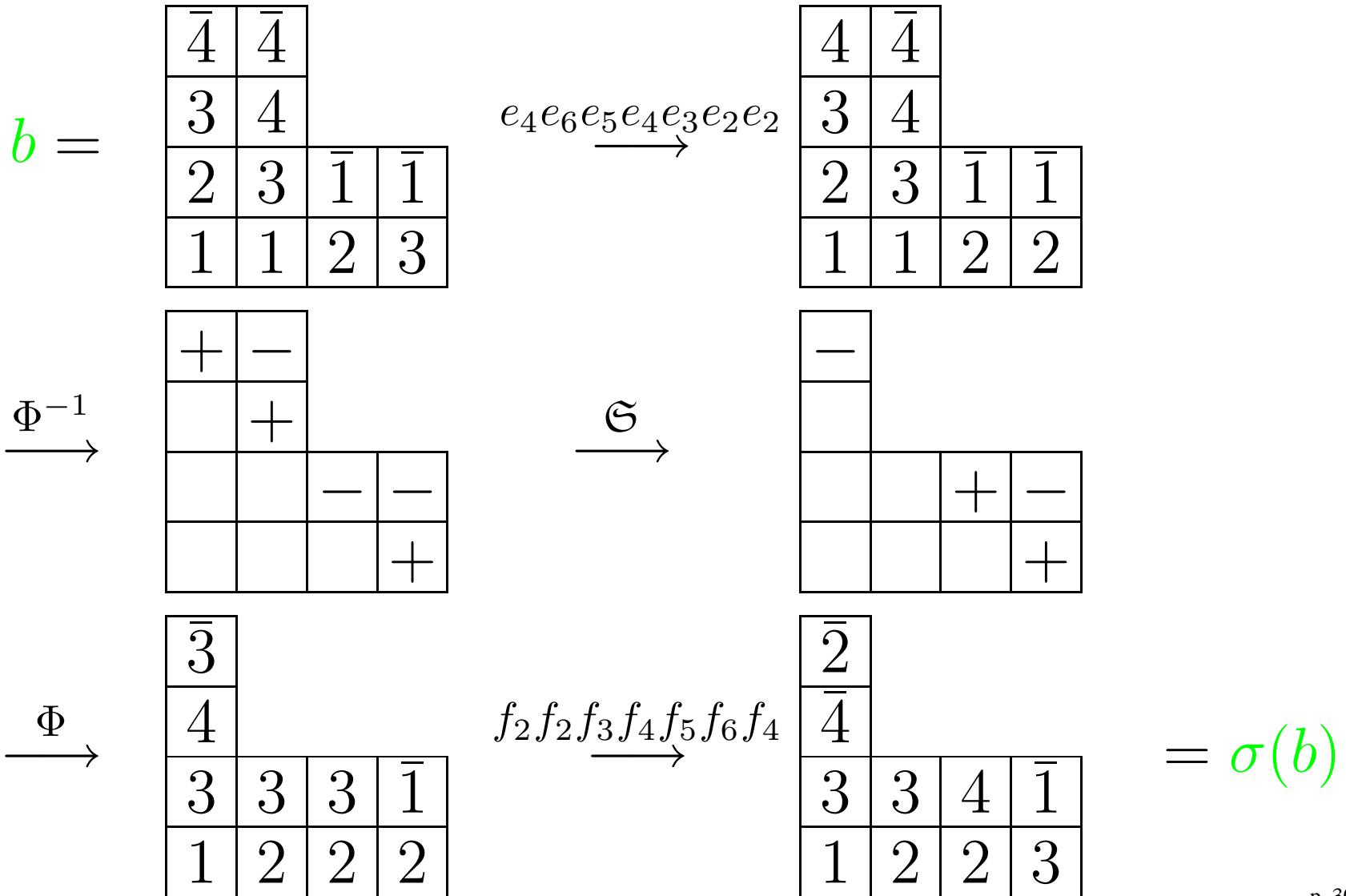
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# Definition of $\tilde{B}^{r,s}$

$\tilde{B}^{r,s}$  is the crystal with the classical decomposition

$$\tilde{B}^{r,s} \cong \bigoplus_{\Lambda} B(\Lambda) \quad \text{as } X_n = D_n, B_n, C_n \text{ crystals}$$

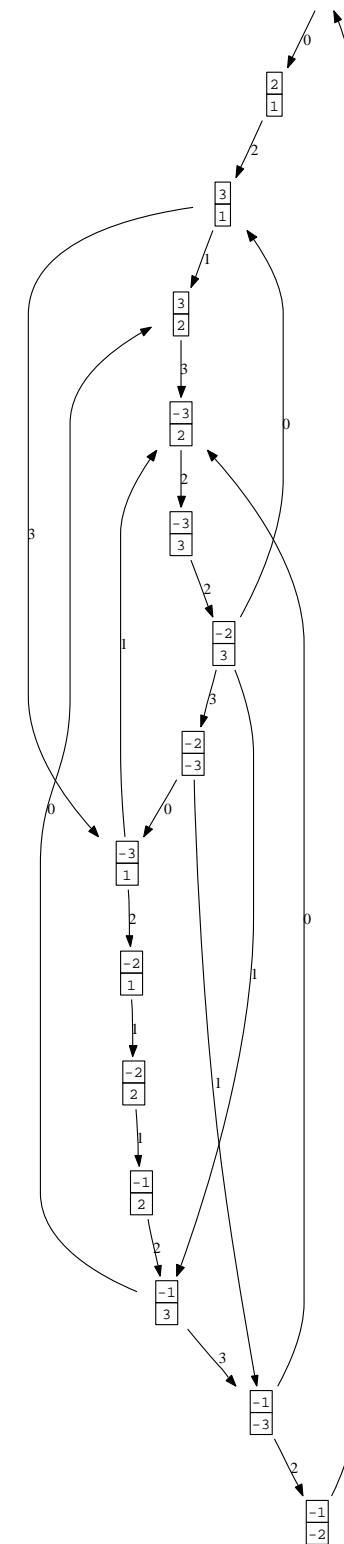
and

$$f_0 = \sigma \circ f_1 \circ \sigma$$

$$e_0 = \sigma \circ e_1 \circ \sigma$$

# Example

$\tilde{B}^{2,1}$  type  $A_5^{(2)}$



# Uniqueness

$B, B'$   $I$ -crystals

$B \cong B'$  isomorphism of  $J$ -crystals where  $J \subset I$

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**Proposition.** Suppose there exist two isomorphisms

$$\Psi_0 : \tilde{B}^{r,s} \cong B \quad \text{as } \{1, 2, \dots, n\}\text{-crystals}$$

$$\Psi_1 : \tilde{B}^{r,s} \cong B \quad \text{as } \{0, 2, \dots, n\}\text{-crystals}$$

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Then  $\Psi_0(b) = \Psi_1(b)$  for all  $b \in \tilde{B}^{r,s}$  and hence there exists an  $I$ -crystal isomorphism

$$\Psi : \tilde{B}^{r,s} \cong B$$

# Uniqueness

**Theorem.** [Okado, S.] For type  $D_n^{(1)}, B_n^{(1)}, A_{2n-1}^{(2)}$

$$\tilde{B}^{r,s} \cong B^{r,s}$$

**Proof.**  $\tilde{B}^{r,s}$  and  $B^{r,s}$  have the same structure as

$\{1, 2, \dots, n\}$ -crystals (by construction)

$\{0, 2, \dots, n\}$ -crystals (by application of  $\sigma$ )

By previous Proposition there exists an isomorphism of  $I$ -crystals

$$\Psi : \tilde{B}^{r,s} \cong B^{r,s}$$

## IV. MuPAD-Combinat implementation

# MuPAD-Combinat...

... is an open source algebraic combinatorics package  
for the computer algebra system MuPAD [Hivert, Thiéry]

```
>> KR:=crystals::kirillovReshetikhin(2,2,[ "D",4,1]):  
>> t:=KR([ [3],[1]] )
```

$$\begin{array}{c} +---+ \\ | \quad 3 \quad | \\ +---+ \\ | \quad 1 \quad | \\ +---+ \end{array}$$

```
>> t::e(0)
```

$$\begin{array}{c} +----+ \\ | \quad -2 \quad | \\ +----+ \\ | \quad 3 \quad | \\ +----+ \end{array}$$

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```

$$\begin{array}{c} +---+ \\ | \quad 3 \quad | \\ +---+ \\ | \quad 1 \quad | \\ +---+ \end{array}$$

```
>> t::sigma()
```

$$\begin{array}{c} +---+---+ \\ | \quad -2 \quad | \quad -1 \quad | \\ +---+---+ \\ | \quad 2 \quad | \quad 3 \quad | \\ +---+---+ \end{array}$$

# Future

- Combinatorial structure for other KR crystals  
 $C_n^{(1)}, D_{n+1}^{(2)}, A_{2n}^{(2)}, \dots$
- $X = M$  conjecture for all types
- Level restriction